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MEMBERSHIP APPLICATION

NAME: _____

FIRM NAME: _____

ADDRESS/CITY/ZIP: _____

PHONE: _____ FAX: _____

EMAIL: _____

APPLYING FOR MEMBERSHIP IN THE: _____ DISCIPLINE

☐ Attorney ☐ CPA ☐ Certified Valuation Specialist ☐ CLU ☐ Fiduciary ☐ Financial Planner (CFP® or ChFC)

☐ Member at Large ☐ Planned Giving Specialist ☐ Associate Member

► **REVIEW ATTACHED DOCUMENT** detailing the qualifications of membership. Choose the discipline that best describes your area of expertise.

► **ESTATE PLANNING EXPERIENCE** ; include your professional bio and detail your Estate Planning practice, experience and significant contributions to Estate Planning on a **separate page**.

► **ALL APPLICATIONS MUST INCLUDE THIS FORM**, your professional bio and estate planning experience, check for **\$550 (\$500 dues + \$50 one-time administration fee)**, and two member sponsor signatures.

1. I have been actively engaged in Estate Planning for _____ years (minimum 5 years).

2. I presently devote approximately _____% of my time to Estate Planning.

3. I maintain my place of business or reside in Orange County: (Yes) _____ (No) _____.

4. I am actively engaged in my stated profession, and am a member in good standing of the:

(a) _____ California State Bar Association

(b) _____ Orange County Bar Association

(c) _____ American Institute of CPA (California Licensed)

(d) _____ Society of Financial Services Professionals (CLU/ChFC)

(e) _____ Financial Planning Association (CFP®)

(f) _____ I am a _____ of _____

Name of Bank / Trust Company

(g) _____ Other Professional Associations (list below)

Please attach your check, made payable to the ORANGE COUNTY ESTATE PLANNING COUNCIL, in the amount of \$500.00 (\$450.00 membership dues + \$50 new member administrative fee). Your check will be returned if your application is not approved. OR to pay with a credit card, complete the information on the back side. Credit cards will not be processed until membership is approved.

I HEREBY APPLY FOR MEMBERSHIP IN THE ORANGE COUNTY ESTATE PLANNING COUNCIL AND AGREE TO ABIDE BY ITS RULES AND BY-LAWS.

APPLICANT SIGNATURE: _____ DATE: _____

SPONSOR SIGNATURES: A SPONSOR MUST BE A CURRENT OCEPC MEMBER IN GOOD STANDING. ONE SPONSOR MUST BE OF THE SAME PROFESSIONAL DISCIPLINE AS THE APPLICANT, THE SECOND FROM ANOTHER DISCIPLINE WITH THE COUNCIL MEMBERSHIP.

RECOMMENDED BY:

(Within discipline) _____

(Signature)

(Print Name)

(Outside discipline) _____

(Signature)

(Print Name)

Make all checks payable to Orange County Estate Planning Council

OR, if you prefer to pay with a credit card, please complete and fax/email back to the Council office.

Charge my credit card: ☐ American Express ☐ MasterCard ☐ Visa

Credit Card No: _____ SecurityCode: _____

Signature: _____ Expiration Date: _____

Name: _____

Company Name: _____ Telephone: _____

Email: _____

Billing Address, City, Zip _____

Contact the Council Office with any questions: (714) 441-8951, x4.

ARTICLE IV

MEMBERS

Section 1. Qualifications and Rights of Membership

(a) Classes and Qualifications: This Corporation shall have nine (9) membership categories, namely: Attorneys, Certified Public Accountants, Chartered Life Underwriters, Fiduciaries, Financial Planners, Certified Valuation Specialists, Planned Giving Specialists, Members at Large and Associate Members. With the exception of the Associate Membership category, all categories require a minimum of five (5) years of experience in estate planning.

(1) Attorneys: Membership shall be limited to those attorneys in private practices who are admitted to practice law in the State of California, and who are actively engaged in an estate planning practice to which they devote at least fifty percent (50%) of their professional time. Said Attorneys must exhibit a superior knowledge of estate planning or be otherwise recognized by members of their profession as specialists in estate planning matters.

(2) Certified Public Accountants: Membership shall be limited to Certified Public Accountants who devote at least twenty-five percent (25%) of their practice to estate planning matters, who evidence a superior knowledge of estate and gift taxation of the income taxation of trusts and estates, and who are generally recognized by members of their profession as being specialists in estate planning matters.

(3) Chartered Life Underwriters: Membership shall be limited to those Chartered Life Underwriters who devote at least thirty percent (30%) of their professional time to estate planning.

(4) Fiduciaries: Membership shall be limited to those officers of banks and trust companies or California Licensed Professional Fiduciaries who are graduates of a recognized trust school, or holder of CTFA designation, or equivalent, and are presently actively engaged in estate planning to which they devote at least fifty percent (50%) percent of their professional time.

(5) Members at Large: Members at large are those professionals whose professional time involves substantial estate planning and who, because of their position, can make a special contribution to the Council, but who cannot qualify under any of the above disciplines due to reasons other than the fact that memberships under the above disciplines are filled. Members at-Large shall be limited to those individuals who hold a graduate degree or hold an advanced industry

designation afforded to those in their field, who spend at least thirty percent (30%) of their professional time involved in estate planning and who are recognized by members of their profession as having superior knowledge of estate planning matters.

(6) Financial Planner: Membership shall be limited to those Certified Financial Planners and Chartered Financial Consultants who devote at least thirty percent (30%) of their professional time to estate planning matters, and who are generally recognized by members of their profession as being specialists in estate planning.

(7) Certified Valuation Specialist: Membership shall be limited to individuals who possess an appropriate valuation designation (e.g. Accredited Senior Advisors, Chartered Financial Analysts, etc.), who are presently actively engaged in estate planning to which they devote at least 25% of their professional time.

(8) Planned Giving Specialist: Membership shall be limited to those individuals employed by 501(c)(3) organizations, who possess an appropriate charitable planning designation (e.g. Certified Specialist in Planned Giving, Chartered Advisor in Philanthropy etc.), and who spend at least 25% of their time on matters related to estate planning.

(9) Associate Members: But for the fact that they do not meet the five (5) years of experience requirement, these individuals must otherwise satisfy the membership requirements of one of the membership categories listed above. Associate members shall not be entitled to vote on matters pertaining to the Corporation.

(b) Limitations:

(1) Numeric Limitations: At no time shall the number of Members at Large and Financial Planner exceed fifteen percent (15%) of the total membership. At no time shall the number of members in any other membership category exceed fifty percent (50%) of the total membership.

(2) Geographic Limitations: Memberships shall be limited to those individuals who maintain a place of business or residence in Orange County, California.

(3) Current Members: Notwithstanding anything to the contrary under this Section 1 of Article IV, the members of this Corporation as of August 27, 1996 shall be "grandfathered" in as members of this Corporation.